



JPW 2122/H

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sokolov et al.

Attorney Docket No.: SUN1P814/P5417

Application No.: 09/703,449

Examiner: Kendall, Chuck O.

Filed: October 31, 2000

Group: 2122

Title: FRAMEWORKS FOR LOADING
AND EXECUTION OF OBJECT-BASED
PROGRAMS

Confirmation No.: 1902

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first-class mail on January 27, 2005 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signed: _____

Susan W. Xu
Susan W. Xu

**INFORMATION DISCLOSURE STATEMENT
BEFORE FINAL ACTION OR NOTICE OF ALLOWANCE
(37 CFR §§ 1.56 AND 1.97(c))**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits, or after three months of the filing date of this application, whichever event occurred last, but it is believed before the mailing date of either: (i) a final action under §1.113 or (ii) a notice of allowance under §1.311, whichever occurs first.

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Accompanying this Information Disclosure Statement is

- ☐ a statement as specified in 37 CFR 1.97(e); or
- ☒ the fee set forth in 37 CFR 1.17(p).

If fees are due, enclosed is our Check No. 25115 for \$180.00 in payment of the Information Disclosure Statement Fee. If it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. SUN1P814).

Respectfully submitted,

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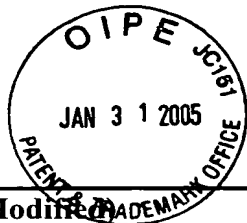


Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty Docket No. SUN1P814/P5417	Application No.: 09/703,449
	Applicant: Sokolov et al. Filing Date October 31, 2000	Group 2122

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
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Examiner				Date Considered			

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	<table style="width: 100%;"> <tr> <td style="width: 60%;">Atty Docket No. SUN1P814/P5417</td> <td style="width: 40%;">Application No.: 09/703,449</td> </tr> <tr> <td colspan="2">Applicant: Sokolov et al.</td> </tr> <tr> <td>Filing Date October 31, 2000</td> <td>Group 2122</td> </tr> </table>	Atty Docket No. SUN1P814/P5417	Application No.: 09/703,449	Applicant: Sokolov et al.		Filing Date October 31, 2000	Group 2122
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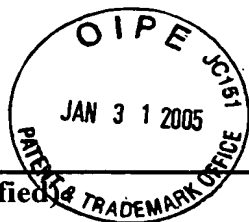
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Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub- class	Translation	
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	B6	WO02/41145 A2	05/23/02	PCT				
	B7	WO99/18484	04/15/99	PCT				
	B8	WO99/31576	06/24/99	PCT				
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	Applicant: Sokolov et al. Filing Date October 31, 2000	Group 2122

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Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
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	C2	Yourst, "Inside Java Class Files," Dr. Dobb's Journal, Jan. 1998, XP-002254150
	C3	Hummel et al., "Annotating the Java bytecodes in support of optimization," Concurrency: Practice and Experience, John Wiley and Sons, Vol. 9(11), November 1997, pages 1003-1016. XP-001131083
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	C6	Meyer et al., "Java Virtual Machine" O'Reilly & Associates, Inc., 1997. XP-002262008
	C7	Lambright H D, "Java Bytecode Optimizations" Proceedings of IEEE Compcon '97. San Jose, Feb. 23-26, 1997, pages 206-210, XP-000751784
	C8	Accomazzo E et al., "Integrating intermediate Code Optimization with Retargetable Code Generation" Microprocessing and Microprogramming, Elsevier Science Publishers, BV. Amsterdam, NL, vol. 30, No. 1/5, August 1, 1990, pages 475-481, XP000141684
	C9	Tim Ritchey, "Java!" 1995, New Riders Publishing, pgs. 326-343
	C10	Jon Meyer & Troy Downing, "Java Virtual Machine," 1997, O'Reilly & Associates, Inc. pgs. 46, 56-57, 74-75, 389 and 391
	C11	Microsoft Press Computer Dictionary Third Edition, pgs. 294-295
	C12	Piumarta et al., "Optimizing Direct Threaded Code by Selective Inlining," Association for Computing Machinery, Vol. 33, No. 5, pp. 291-300, May 1, 1998.XP-000766278
	C13	Tommesani, "Programming models"
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